

Client Information Update
客戶資料更新表

(Corporate / Sole Proprietor / Partnership Account)
(公司/獨資經營/合伙經營戶口)

In order to serve you better and to comply with applicable legal and regulatory requirements, we would like to update your client record with Kingsway Financial Services Group Limited. Please complete this form and return the completed form to us either by facsimile at (852) 2877-1026 or by mail to Kingsway Financial Services Group Limited, 7/F., Tower One, 89 Queensway, Hong Kong (Attn: Operations Department).

為提供更優質的服務及遵守適用法律及規管性之規定，我們想更新您與滙富金融服務有限公司之客戶記錄。懇請閣下填妥以下表格，並將已填妥之表格傳真至(852) 2877-1026 或郵遞至香港金鐘道 89 號力寶中心一座七樓，滙富金融服務有限公司營運部。

If you have any questions, please contact your Account Executive or our Operations Department (852) 2283-7373
如有任何查詢，請聯絡閣下的客戶主任或致電我們的營運部 (852) 2283-7373。

CORPORATE/SOLE PROPRIETOR/PARTNERSHIP ACCOUNT 公司/獨資經營/合伙經營戶口

Account Name 帳戶姓名: _____ Account No(s). 帳戶編號: _____ (the "Account(s)")

Account Executive 客戶主任: _____

SECTION I 第一部分: Client Information 客戶資料

Has there been any change to your particulars 閣下之資料是否有改變?

Yes 是 → Please answer the relevant question(s) in this section 請回答此部分之相關問題

No 否 → Please go to Section II 請跳到第二部分

(1) Business / Registered Office Address 營業/註冊辦事處地址: _____

(2) Tel No. 電話號碼: _____ Fax No. 傳真號碼: _____ Mobile Phone No. 手提電話號碼: _____

(3) Email Address 電子郵件地址: _____

(4) Nature of Business 業務性質: _____

SECTION II 第二部分: Financial Information and Investment Profile 財務資料及投資概況

Has there been any material change to your financial information 閣下之財務資料是否有重大改變?

Yes 是 → Please answer the relevant question(s) in this section 請回答此部分之相關問題

No 否 → Please go to Section III 請跳到第三部分

(1) Changes to Investment Objectives 投資目標的改變

Income 收入 % Hedging 對沖 % Capital gain 資本增值 % Speculation 投機 %

(2) Changes to Investment Horizon 投資期限的改變

Long Term 長線 Medium Term 中線 Short Term 短線

(3) Changes to Risk Tolerance 承受風險的改變

Low 低風險 Medium 中風險 High 高風險 Very High 極高風險

(4) Changes to Investment Experience 投資經驗的改變

Warrants 認股證 year 年 HK futures/options 香港期貨/期權 year 年 Other derivatives 其他衍生產品 year 年

(5) Changes to Net tangible assets or ultimate beneficial owner (HK\$) 有形資產淨值或(以港元計): _____

SECTION III 第三部分: General Information 一般資料

(1) Is/ are there any change(s) in the list of director(s) or partners of the Account(s) 帳戶之董事或合作夥伴名冊是否有轉變?

Yes, please provide Name(s) and ID/ Passport No(s) of the director(s) or partners and certified true copy of evidence of change of director(s) or partners (if applicable):
是，請提供董事或合作夥伴姓名及身份證或護照號碼及有關董事或合作夥伴名冊之變更的認證副本(如適用):

No 否

(2) Is/ are there any change(s) to the person(s) authorized to operate the account, to effect transactions and other dealings in securities 是否授權操作賬戶，執行交易和其他交易證券賬戶之人是否有轉變?

Yes, please provide Name(s), Title(s) and ID/ Passport No(s) and telephone number(s) of the authorized person(s):
是，請提供授權人的姓名、銜頭及身份證或護照號碼及電話號碼:

No 否

- (3) Is/ are there any change(s) to the person(s) authorized to give instructions in relation to settlement and movement of funds 就有關結算和資金的移動指令的被授權人是否有轉變？
- Yes, please provide Name(s), Title(s) and ID/ Passport No(s) and telephone number(s) of the authorized person(s):
是，請提供被授權人的姓名、銜頭及身份證或護照號碼及電話號碼：
- No 否
- (4) Is/ are there any change(s) in your shareholding/partner(s) structure (including new shareholders or change of ownership percentage) 帳戶之持股或合作夥伴之結構（包括新股東或持股比例的改變）是否有轉變？
- Yes, please provide Name(s), Title(s), ID/Passport No(s) of the new shareholder(s) and/or the change on ownership percentage:
是，請提供新股東的姓名、銜頭及身份證或護照號碼及/或持股比例的改變：
- No 否
- (5) Is/ are there any change(s) in the ultimate beneficial owner(s) of the Account(s) 帳戶之賬戶的最終實益擁有人是否有轉變？
- Yes, please provide Name(s), Title(s) and ID/ Passport No(s) and telephone number(s) of the ultimate beneficial owner(s):
是，請提供最終實益擁有人的姓名及身份證或護照號碼及電話號碼：
- No 否
- (6) Are any of the Account holder(s), director(s), authorized person(s), shareholder(s) a licensed or registered person or a director or employee of any licensed corporation or registered institute, a U.S. Citizen, U.S. Resident or U.S. Permanent Resident (Green Card Holder) 是否帳戶持有人、董事、授權人、股東是持牌人或註冊人或任何持牌法團的董事或僱員或註冊機構和/或美國公民，美國居民或美國永久居民（綠卡持有人）？
- Yes, please provide Name(s) of that person(s):
是，請提供該人的姓名：
- No 否
- (7) Do you or your director(s), shareholder(s), authorized person(s) or beneficial owner(s) have any related company or account with Kingsway Financial Services Group Limited 閣下或此戶口帳戶之董事，股東，被授權人或最終實益擁有人有任何有關的公司或賬戶於滙富金融服務有限公司？
- Yes, please provide Name(s) and Account number(s):
是，請提供姓名及帳戶號碼：
- No 否
- (8) Are you, or is any of the above beneficial owner(s) or any of the above Authorized Persons, a Licensed or Registered Person, or a director or an employee of any Licensed Corporation or Registered Institution of the Securities and Futures Commission of Hong Kong? 閣下，或任何此戶口最終權益擁有人，或任何此戶口的獲授權人士，是否香港證券及期貨事務監察委員會的持牌或註冊人士，或任何持牌或註冊機構之董事或僱員？
- Yes, please provide name of the Licensed or Registered Person
是，請提供持牌或註冊人士名稱
- No 否

SECTION IV 第四部分: Customer Acknowledgement 客戶確認

I, the undersigned, confirm that all the information provided in or otherwise in connection with this Client Information Update is, and in respect of any information provided hereafter, shall be, at the time of provision, true, accurate, complete and up-to-date and in compliance with all applicable legal and regulatory requirements.

I undertake to notify Kingsway Financial Services Group Limited immediately in writing of any change(s) to any of the aforesaid information. I understand and agree that unless otherwise indicated by Kingsway Financial Services Group Limited in writing, any such change will not come into effect until 5 working days after the actual receipt of the relevant written notification by Kingsway Financial Services Group Limited. Kingsway Financial Services Group Limited may contact any persons or entities, including but not limited to banks, brokers or credit agencies for verification of any of the information provided (or any part of it).

本人，即以下簽署人，確認本人現時及其後於此「客戶資料更新表」或與其有關所提供之資料皆為真實、準確、完整、最新及遵從所有適用法律及規管性之規定。

如所提供的資料有任何更改，本人承諾立即以書面通知滙富金融服務有限公司。本人明白及同意除非滙富金融服務有限公司另作書面知會，否則該資料更改只會於滙富金融服務有限公司確實收到有關之書面通知當日起計五個工作天後才會生效。滙富金融服務有限公司可聯絡任何人士或實體，包括並不限於銀行、證券行或信貸機構以核實閣下提供之資料(或其任何部分)。

Agreed by the undersigned 同意並簽署如下

_____ Date 日期 _____
(DD日/MM月/YYYY年)

This should be the same as the specimen authorised signature(s) that you previously provided to us.

必須與閣下之前提供本公司的授權簽署式樣相同。

Note 註：If necessary, please make an additional copy of this form or attach separate sheets. 如需要，請複製此表格或使用附件。