

# SETTLEMENT INSTRUCTION

## 交收 / 實貨提取指示

To: **Kingsway Financial Services Group Limited**

Date 日期: \_\_\_\_\_

致: **滙富金融服務有限公司**

Attn: **Settlement Department**

Fax No.: **2877-1026**

Tel: **2283-7701**

Client Name 客戶名稱: \_\_\_\_\_

Account No. 帳戶號碼: \_\_\_\_\_

Name of Stock 股票名稱	Stock Code 股票代號	No. of Shares 股票數量

Currency & Amount:  
貨幣及幣值: \_\_\_\_\_

Settlement Date:  
交收日期: \_\_\_\_\_

### Type of Settlement Instruction 交收指示類別

- (1)  SI Service (through CCASS) 轉倉服務 (經中央結算系統)  Receive 接收  Free of Payment 免代收款項  Deposit versus Payment 代收股票兼代付款項 HKD \_\_\_\_\_
- Delivery\* 交往\*  Free of Payment 免代付款項  Delivery versus Payment 代交股票兼代收款項 HKD \_\_\_\_\_

Counterparty Name:  
轉倉對手名稱: \_\_\_\_\_

Counterparty ID No.:  
對手編號: \_\_\_\_\_

Broker No.:  
行家代號: \_\_\_\_\_

Client A/C Name\*:  
客戶名稱\*: \_\_\_\_\_

Counterparty Contact Person:  
對手聯絡人: \_\_\_\_\_

Counterparty Contact Telephone:  
對手聯絡人電話: \_\_\_\_\_

**Charges of \$100 per transaction for stock withdrawal through CCASS SI Services 使用經中央結算系統提取股票費用為每個轉倉指示\$100**

**\* Client account name with counterparty must be the same as the Client 轉倉客戶之戶口名稱必須與客戶名稱相同**

- (2)  CCASS Custodian Service 中央結算托管服務  Receive 接收  Delivery 交往
- Investor A/C No.:  
投資者戶口編號: \_\_\_\_\_

**Charges of \$50 per transaction for stock withdrawal through CCASS SI Services 使用經中央結算系統提取股票費用為每個轉倉指示\$50**

**\* Client account name with counterparty must be the same as the Client 轉倉客戶之戶口名稱必須與客戶名稱相同**

- (3)  Physical Stock: 提取實貨
- Authorization to collect stock 領取股票授權書  
I/We hereby authorize  
本人/吾等現授權 \_\_\_\_\_

HKID number  
香港身分證號碼 \_\_\_\_\_

to collect  
提取 \_\_\_\_\_

Shares of the above-mentioned stock.  
上述股票。

**Stock Withdrawal Fees \$3.50 per lot and handling fee \$50 per stock 股票提倉費用每手\$3.50 及另每隻加手續費\$50**

- (4)  Internal transfer to/  
from: 轉帳至/ 從: \_\_\_\_\_ Client Name: 客戶名稱: \_\_\_\_\_

Client A/C No.:  
客戶號碼: \_\_\_\_\_

**All internal transfer must be support with valid bought/sold note 股票轉帳必須提供有效之買賣單據**

\* Original of the Settlement Instruction is required for delivery of stock(s). 轉倉交收指示必須提交指示正本。

Client's Signature 客戶簽署

(with company chop in case of corporate client)

(公司客戶請附加蓋章) (1 April 2014)

\*\*\* For Official Use Only 只供本行使用 \*\*\*

Checked by:

Account Executive	Dealing Director	Head of Settlement
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Proceed by: